For December 6, 2014 CFA 시험 접수 2014년 12월 06일(토) 시험

www.epasskorea.com , Tel: 1600-0522

Second deadline 을 기준으로 접수를 시작합니다.

	First deadline 12 March 2014 11:59 p.m. ET	Second deadline 20 August 2014 11:59 p.m. ET	Final deadline 17 September 2014 11:59 p.m. ET
First-time Enrollment Fee:	US\$440 [‡]	US\$440 [‡]	US\$440 [‡]
Exam Fee:	US\$620‡	US\$800 [‡]	US\$1,170 [‡]

진정한 전문가로 가는길







Create an Accou Create an account to take advantag Program candidate or CFA Institute	e of online learning resources, to register for CFA Institute events, or to become	me a CFA	
Once your account is created, you r	nay receive newsletters and alerts from CFA Institute via e-mail.		
Step 1: Enter your	basic information		
First Name (Given Name):	Pass	× •	역 시 주의사항
Last Name (Family Name):	E		•
Primary E-mail Address:	fpmaster@epasskorea.com	——————————————————————————————————————	여권의 이름과 동일해야 합니다. 다를 경우, 시험
Step 2: Set your u	ser name and password		을 응시할 수 없습니다.
	115 characters and passwords must be 7 to 15 characters in length. n of letters A–Z, numbers 0–9, and underscores.		
	ted. Please note that passwords are case sensitive.	2.	USer name과 Password, 이메일 주소를 받드시
All fields are required.			기억하시길 바랍니다.
User Name	epass		
Confirm User Name	epass	3.	이메일 주소는 회사의 인증을 거쳐야 발송되는 경우
		>.	
Password	******	,	사용하지 마시기 바랍니다.
Password Confirm Password			사용하지 마시기 바랍니다.
			사용하지 마시기 바랍니다. 오루블 포함한 모든 안네는 이메임을 통해 이무어지니
Confirm Password Step 3: Select and You will be prompted with these que towercase and uppercase letters A	answer challenge questions	u	사용하지 마시기 바랍니다.
Confirm Password Step 3: Select and You will be prompted with these que lowercase and uppercase letters A - All fields are required.	answer challenge questions stions in case you forget your password. Answers may consist of any combin - Z and numbers 0 – 9.	uation of	사용하지 마시기 바랍니다. 오무를 포함한 모든 안내는 이메임을 통해 이무어지니 반드시 스팸 메임도 관미 가능한 계정을 사용해야
 Confirm Password Step 3: Select and You will be prompted with these que lowercase and uppercase letters A All fields are required. Question 1:	answer challenge questions	u	사용하지 마시기 바랍니다. 오무를 포함한 모든 안내는 이메임을 통해 이무어지니 반드시 스팸 메임도 관미 가능한 계정을 사용해야
Confirm Password Step 3: Select and You will be prompted with these que lowercase and uppercase letters A - All fields are required.	answer challenge questions stions in case you forget your password. Answers may consist of any combin - Z and numbers 0 – 9.	uation of	사용하지 마시기 바랍니다. 오무를 포함한 모든 안내는 이메임을 통해 이무어지니 반드시 스팸 메임도 관미 가능한 계정을 사용해야
Confirm Password Step 3: Select and You will be prompted with these que lowercase and uppercase letters A All fields are required. Question 1:	answer challenge questions stions in case you forget your password. Answers may consist of any combin - Z and numbers 0 – 9.	uation of	사용하지 마시기 바랍니다. 오무를 포함한 모든 안내는 이메임을 통해 이무어지니 반드시 스팸 메임도 관미 가능한 계정을 사용해야
 Confirm Password Step 3: Select and You will be prompted with these que lowercase and uppercase letters A All fields are required. Question 1: Answer	answer challenge questions stions in case you forget your password. Answers may consist of any combin - Z and numbers 0 – 9.	Lation of	사용하지 마시기 바랍니다. 오무를 포함한 모든 안내는 이메임을 통해 이무어지니 반드시 스팸 메임도 관미 가능한 계정을 사용해야
Confirm Password Confirm Password Step 3: Select and You will be prompted with these que lowercase and uppercase letters A All fields are required. Question 1: Answer Question 2:		Lation of	사용하지 마시기 바랍니다. 오무를 포함한 모든 안내는 이메임을 통해 이무어지니 반드시 스팸 메임도 관미 가능한 계정을 사용해야
Confirm Password Confirm Password Step 3: Select and You will be prompted with these que lowercase and uppercase letters A All fields are required. Question 1: Answer Question 2: Answer:	answer challenge questions stions in case you forget your password. Answers may consist of any combin - Z and numbers 0 – 9.	Lation of	사용하지 마시기 바랍니다. 오무를 포함한 모든 안내는 이메임을 통해 이무어지니 반드시 스팸 메임도 관미 가능한 계정을 사용해야
Confirm Password Confirm Password Confirm Password Council and a confirmer password Council and a c		Lation of	사용하지 마시기 바랍니다. 오무를 포함한 모든 안내는 이메임을 통해 이무어지니 반드시 스팸 메임도 관미 가능한 계정을 사용해야
Confirm Password Confirm Password Confirm Password Confirm Password Council and uppercase letters A- All fields are required. Question 1: Answer Question 2: Answer: Question 3: Answer: Question 4:		Lation of	사용하지 마시기 바랍니다. 오무를 포함한 모든 안내는 이메임을 통해 이무어지니 반드시 스팸 메임도 관미 가능한 계정을 사용해야
Confirm Password Confirm Password Confirm Password Council and a confirmer password Council and a c		Lation of	사용하지 마시기 바랍니다. 오무를 포함한 모든 안내는 이메임을 통해 이무어지니 반드시 스팸 메임도 관미 가능한 계정을 사용해야
Confirm Password Confir		ation of	사용하지 마시기 바랍니다. 오무를 포함한 모든 안내는 이메임을 통해 이무어지니 반드시 스팸 메임도 관미 가능한 계정을 사용해야





Confirm Your Account

A confirmation e-mail has been sent to your primary e-mail address.

Your account will not be activated until you click the link in the confirmation e-mail. This link will be valid for 12 hours.

If you suspect that our e-mail may be getting caught by a SPAM filter and getting blocked, try the following:

- 1. Verify that the e-mail is not in your junk e-mail folder
- 2. Add info@cfainstitute.org to your address book
- 3. Contact your e-mail administrator

If you still need assistance, contact us.

임력한 메일주소로 확인 메일이 발송됩니다.



Login

From : CFA Institute Customer Service <info@cfainstitute.org> Date : 2012-08-14 오후 3:16:19 To : Korea Epass <fpmaster@epasskorea.com> Cc : Subject : CFA Institute Account Activation

This e-mail is a confirmation of your request to create an account with CFA Institute,

Follow the activation link below to complete the registration process (may require copying and pasting the link into your browser):

https://wwW.cfainstitute.org/LoginApplication/CreateNewAccountEmailConfirmation.aspx?ID=dedf300f-f21b-4925-bd22-ab9949f262ce

This link is valid for 12 hours,

If you feel you have received this e-mail in error and/or you have not recently requested to create and account on our website, call CFA Institute customer service at (800) 247-8132 (in the United States and Canada) or +1 (434) 951-5499, Regards,

CFA Institute Customer Service

이메일을 확인하여 계정 활성화를 위해 링크주소를 클릭합니다. * 링크주소는 I 2시간 동안만 유효하니 유의하시기 바랍니다.





Programs

Integrity & Standards Insights & Learning

Community About



The Future of Finance Empowering the world of finance to restore trust and



Þ

Claritas Investment Certificate

Providing a clear understanding of industry essentials



Investor Trust Study

Advancing new research on investor priorities





Home / Programs / CFA Program

Become a		
Charterholder	The Chartered Financial Analyst®	CEA Even Begistration
Course of Study	(CFA) credential has become the most	CFA Exam Registration
Scholarships	respected and recognized investment designation in the world; enrolling in	Registration for the June 2014 exam is
Exam Details	the CFA Program is your first step	open.
	toward earning this qualification.	
Register		Exam fees Locations Register now
New	The CFA Program curriculum covers	requirements
Charterholders	concepts and skills you will use at all	roqui onono
CIPM Program	stages of your career, bridging academic theory, current industry	
	=	ndards to provide a strong foundation of advanced
Claritas	investment analysis and real-world portfol	
Investment Certificate	70 37	57.
Gertificate	What Candidates and C	Charterholders Are Saying
Which Program is		charterholders think about the CFA Program and the
Right for you?	value of the CFA charter:	marternolders think about the or A riogram and the
For Employers &		



practical advice for advancing your

Career Advancement

CFA candidates surveyed in June 2013 highly value earning the CFA charter, with an average value rating of 8.9 out of 10. Over one-third of them cited career advancement as the primary reason they chose the CFA Program (PDF).



Welcome back Kang Min CFA Institute ID: 7005839



Profile

Update Personal Profile Update Professional Profile Update Work Experience Update Education

CFA Program



CIPM Program

Enroll in the CIPM program

Membership

Manage Member and Society Applications View My References View My Memberships Manage My Memberships View Professional Conduct History

Customer Service

Browse FAQs Submit a Service Request Check Status of a Service Request

Account Security

Change your challenge question Change your password



CFA Program Registration

To complete the registration process you must:

- Complete all sections of this application. *
- Submit statements regarding your ethical and legal status.
- Submit payment. View payment options.

* You may continue working on your partially completed registration for up to 30 days, or the end of the registration period, whichever comes first. If more than 30 days have elapsed from when you initiated the registration process, you will need to start the process over again. You must have your UserID and Password to return to your registration.





CFA Program Registration

Important: Do not use your Back button to change or review previously entered information or your session will be terminated. Please allow up to 30 minutes to complete the registration process.

Welcome to CFA exam registration.

Be sure to have the following available:

- Valid (unexpired) international travel passport You are required to enter your name, date of birth, passport number, and country of issuance exactly as it appears on your passport
- · Credit card number and expiration date or other payment method
- Printer (recommended)
- Valid email address Registration confirmation and exam results will be sent to the email address on file

The Office of Foreign Asset Control ("OFAC") prohibits U.S. companies from doing business with individuals who live in countries that are subject to certain sanctions. If you attempt to register despite a sanction that prohibits CFA Institute from doing business with you, we are legally required to cancel your registration and cannot refund your payment. See the OFAC website for details: OFAC Compliance Policy.

Conditions, requirements, policies, and procedures





계정 생성시 입력했던 정보가 표시되며, 공백으로 표시된 추가 정보를 입력해야 합니다. Personal Profile **Registration Steps** Select Completed Name Select edit to complete your personal profile. Required fields are indicated by *. Level I > Personal *Prefix **Business Phone** Information *First or Given Mobile Phone Level I Kang Name Address *Home Phone > Information Middle Name Country Code *Surname or *Email hyunnaner@naver.com Level I > Min Family Name Education *Home Phone Suffix Level I Work 3 Experience *Gender Subscriber Preferences *Birth Date (DD Level I > Professional Mon YYYY) View the CFA Institute privacy policy. Profile CFA Institute ID 7005839 Share my Level I contact Professional information Passport Information > Conduct with Inquiry *Passport preparatory Yes Number providers Level I participating in *Expiration Date Candidate > the Prep Provider (DD Mon YYYY) Responsibility Guidelines Statement *Country of Program Issuance Level I CFA Examination > Registration 킑믹 Edit Continue >>



Registration Steps

Select

>

* × * 표시는 반드시 임멱합니다.

Completed Name Required fields are indicated by *. Level I Personal

	Information	Prefix*	Mr.	Busines
>	Level I Address	First or Given Name★	Kang	Mobile F
	Information	Middle Name		Home PI Country
>	Level I Education	Surname or Family Name★	Min	Home Pl
>	Level I Work Experience	Suffix	-Select-	Email★
>	Level I Professional	Gender★ Birth Date (DD	M	Change
	Profile	Mon YYYY)*	07 Aug 1984	C 1
>	Level I Professional Conduct	CFA Institute ID	7005839	Subscri
	Inquiry		nformation, submit the Identification	View the Share n
>	Level I Candidate	Information Change	e Request form (PDF).	contact informa
	Responsibility Statement	Passport Informa	ntion	with prepara
>	Level I CFA Examination	★Passport Number	16000522	provide
· ·	Registration	★Confirm Passport Number	16000522	the Prep Guideline
		★Expiration Date (DD Mon YYYY)	31 Dec 2020	Program
		★Country of Issuance	KOREA	
		How to locate this	information on your passport (PDF)	<u> </u>
C		AL.	< < Feb 🕶 1984 🕶 > >>	
	아이콘 클릭 시 옆의 창이 뜨며, 화 클 클릭하면 보이지 않는 년도의 선*		Sun Mon Tue Wed Thu Fri Sat	
	ㅌㅌㅓ'᠘^''☞ㄷ ᠘᠆ᢇ᠘ 이 가능했니다.	•	1 2 3 4 5 6 7 8 9 10 11	
			12 13 14 15 16 17 18	
			19 20 21 22 23 24 25 26 27 28 29	
진정한 전문가로	기는길			
epa	sskorea		(5	ave)(Cancel)

siness Phone	
bile Phone	
me Phone untry Code★	KOREA +82
me Phone*	02-6345-6751
nail★	
Ibscribe w the Cf are my ntact ormation	* 입멱 시 주의사항 1. 여권을 통해 신분확인을 하므로 여권과 내용이 정확하게 입치 예야 합니다. - 이름, 여권번호, 만보입, 생년월입 2. 시험입(2013.12.1) 기준, 여권은 만보되어선 안 됩니다. 시험입 기준, 만보가 된다면 여권을 경신하여야 하며 별도의 접차를 기쳐 반드시 여권번호를 변경해야 합니다.
	Continue >>
	임멱 내용 확인 후 클릭

Addresses

Enter a primary mailing address and any other address you would like to have on file.

OFAC prohibits CFA Institute from doing business with individuals who live in countries that are subject to certain sanctions. Check here to see if you are affected.

A Primary T	21	Business/Building 🔶 Name	Address Line 1	Address Line 2		State	Province	Postal Code	Country	Edit
----------------	----	-----------------------------	-------------------	-------------------	--	-------	----------	----------------	---------	------





Address Entry

Primary			
Address Type	BUSINESS	×	
Business/Building Name	2-1004, ACEHITECH	CITY	역문주소를 모를 경우 네이버에서
Address Line 1	55-20, MULLAE-DON	IG 3-GA, YEONGDE	· '영문주소 변환 ' 을 검색하여 정확한 주 UNGPI 소플 입력합니다.
Address Line 2			
City	SEOUL		
State		•	
Province			
Postal Code	150-835		
Country	KOREA	*	



Sorry, we could not find a match for your address.

To proceed, please edit or accept the address you entered.

	정확한 주소를 입력했음에도 해외사이트여서 주소가 정확히 김색되지 않을 수 있습니다.
Address	
You entered:*	
Primary	
Address Type	BUSINESS
Business/Building Name	2-1004, ACEHITECHCITY
Address Line 1	55-20, MULLAE-DONG 3-GA, YEONGDEUNGPOGU
Address Line 2	
City	SEOUL
State	
Province	
Postal Code	150-835
Country	KOREA
*If accepted, your address may be undeliverable.	수정을 원하면 클릭 Accept Cancel
인정한 전문가로 가는길	내용이 정확하면 클릭



Addresses

Enter a primary mailing address and any other address you would like to have on file.

OFAC prohibits CFA Institute from doing business with individuals who live in countries that are subject to certain sanctions. Check here to see if you are affected.

Primary	Address Type	Business/Building 🖨 Name	Address Line 1	Address Line 2	City	State	Province	Postal Code	Country	Edit
X	BUSINESS	2-1004, ACEHITECHCITY	55-20, MULLAE-DONG 3-GA, YEONGDEUNGPOGU		SEOUL			150- 835	KOREA	*





Education

Enter your education details by selecting Enter Education below.

View CFA Program entrance requirements.

Major De	egree Un	niversity Date	e Of Graduation(Mon YYYY)	City	State	Country
----------	----------	----------------	---------------------------	------	-------	---------





Education

Students in the final year of a Bachelors (or equivalent) program should select "In Progress" from the degree drop-down list and enter expected date of degree completion.

Advanced degrees can only be entered once they are completed and the degree has been awarded.

A list of Universities will be displayed after selecting a Country.

Major	Business Management	
Degree*	Bachelors or equivalent	-
Date Of Graduation (Mon YYYY)★	Feb 2012	
City	Seoul	
State	-Select-	•
Country*	KOREA	
University★	Seoul National University	-

· * ፣ 표시는 반드시 임멱합니다.

대학 목록은 국가를 선택하면 표시됩니다.

In Progre55 = 대학교 재학생 Bachelor5 or equivalent = 대학교 졸업생 및 대학원 재학생 MB5Fer5 or Equivalent = 석사학위 소유자 MBA = 경영학 석사학위 소유자 Doctorate — Phd, EdD or equiv. = 박사학위 소유자 JD = 범학 박사학위 소유자





Education

Enter your education details by selecting Enter Education below.

View CFA Program	entrance requirements.					수정을 원하면 클릭	
Major	Degree	University	Date Of Graduation(Mon YYYY)	City	State	Country	Edt
Business Management	Bachelors or equivalent	Seoul National University	Feb 2012	Seoul		KOREA	1







Work Experience

Enter your work experience details below in chronological order, starting with your oldest work experience. Be as descriptive as possible. You will need to enter an end date for all positions including your current work

Company Name Title Job Category \ominus Responsibilities or Specific Duties

experience. You will be able to update the end date as needed.



Start Date (Mon YYYY) End Date (Mon YYYY)

Select Continue to create or edit your professional profile





*What year did you start working in the investment industry?

Ŧ

Not Applicable

학생의 경우는 Пон Пpplicable 로 직장인은 해당 연도 임멱합니다. ProFe55ian리 PraFile 은 정확히 입멱해야 하는 것은 아닙니다.

대략적인 내용을 기임하시기 바람니다.





*What is your current employment status?

I am employed full-time 🔹





EPASSKOREA					
*Address Line 1:					
55-20, Mullae-dong 3-ga, '					
Address Line 2:					
2-1004 Acehitechcity					
*City:		# 저 다 계에 서	학생을 선맥하였을 경우 나	요지 안 느 페이지의 니다.	
Seoul					
State					
Province					
Postal Code					
150-835					
*Country					
KOREA	-				내용 입력 후 클릭



*If applicable, please select the level of your firm's Assets Under Management. NOTE: If your investment business is owned by a larger firm, please provide the Assets Under Management of your individual organization, not the parent company:

Less than US\$ 250 million
US\$ 250 million to less than 1 billion
US\$ 1 billion to less than 5 billion
US\$ 5 billion to less than 20 billion
US\$ 20 billion to less than 50 billion
US\$ 50 billion to less than 250 billion
More than US\$ 250 billion
Not Applicable
Prefer not to answer



If your investment business is owned by a larger firm, please also provide the Assets Under Management of your parent company:

Less than US\$ 250 million
US\$ 250 million to less than 1 billion
US\$ 1 billion to less than 5 billion
US\$ 5 billion to less than 20 billion
US\$ 20 billion to less than 50 billion
US\$ 50 billion to less than 250 billion
More than US\$ 250 billion
Not Applicable
Prefer not to answer





*If you are involved in asset management, which best describes the primary entity that most directly holds those assets?

Institutional Entities

OPrivate Individuals

OApproximately equal asset management of Institutional Entities and Private Individuals

* 전 단계에서 학생을 선택하였을 경우 나오지 않는 페이지입니다.

OI am not involved in asset management





∗ 전 단계에서 학생을 선택하였을 경우 나오지 않는 페이지입니다.

*If applicable, which of the following best describes the primary type of investments you work with? (select one)

- *If applicable, select all types of investments you work with (select multiple)
- Commodities

Not Applicable

Derivatives

Commodities
 Derivatives
 Equities
 Fixed Income
 Foreign Currency

Hedge Funds

Structured Products
 Venture Capital

Indexed
 Private Equity
 Real Estate

Other

- Equities
- Fixed Income
- Foreign Currency
- Hedge Funds
- Indexed
- Private Equity
- Real Estate
- Structured Products
- Venture Capital
- Other
- Not Applicable





*Which of the following BEST describes your professional responsibilities?

* 전 단계에서 학생을 선택하였을 경우 나오지 않는 페이지입니다.

 Provide analysis, research, and portfolio management to inform investment decisions exclusively for the benefit of institutions investing their own assets or client portfolios (these professional responsibilities are also referred to as "buy side").
 Provide analysis, research, and brokerage services to clients and the investing public to inform investment decisions and facilitate transactions (these professional responsibilities are also referred to as "sell side").
 Both

Neither





*Please provide your specific job title:

* 전 단계에서 학생을 선택하였을 경우 나오지 않는 페이지입니다.

Private Asset

*Which of the following BEST describes your primary job function or responsibility? (These options may not reflect your specific job title.)

Accountant/Auditor

Broker

Chief Executive (e.g., CEO, CFO, CIO, COO, CMO, etc)

Consultant

Corporate Financial Analyst

Financial Advisor

Investment Banking Analyst/ Investment Banker

Manager of Managers

OPerformance Measurement Specialist, including GIPS® Specialist or Verifier

OPortfolio Manager (Institutional and/or Private Individuals)

OPrivate Banker

CRelationship Manager, Sales and Marketing

Research Analyst

Risk Manager

Strategist

Trader

Show additional occupations not listed above





Which of the following professional financial designations have you earned? (Select all that apply) Please note that it is not necessary to specify if you have earned the

CFA and/or CIPM designations offered by CFA Institute.

ACA - Chartered Accountant [UK, Australia, & New Zealand]

ACCA - Diploma in Financial Management, Chartered Certified Accountant

AIAA - Associate of the Institute of Actuaries of Australia

AMCT - Associate Member of the Association of Corporate Treasurers

ASA - Accredited Senior Appraiser

ASA - Associate, Society of Actuaries

ASIP formerly, AIIMR [UK]

CA - Chartered Accountant [Australia]

CA - Chartered Accountant [Canada]

CA - Fellow of the Institute of Chartered Accountants [Scotland]

CA - Chartered Accountant [South Africa]

CAIA - Chartered Alternative Investment Analyst

CBV - Chartered Business Valuator [Canada]

CCA - Chartered Certified Accountant [UK]

CCM - Certified Cash Manager [US]

CEFA - Chartered European Financial Analyst

CFI - Certificate in Finance & Investment of the Institute of Actuaries [UK]

CFM - Certified Financial Manager [US]

CFP - Certified Financial Planner

CFS - Certified Fund Specialist

CGA - Certified General Accountant [Canada]

CIA - Certified Internal Auditor [US]

CIC - Chartered Investment Counselor [US]

CIIA - Certified International Investment Analyst

CIMA - Certified Investment Management Analyst

CIMA - Chartered Institute of Management Accountants Qualification (UK)

CIWM - Certified International Wealth Manager

CLU - Chartered Life Underwriter

CMA - Certified Management Accountant [Canada]

CMA - Chartered Member of SAAJ [Japan]

CMA - Certified Managerial Accountant [US]

CMT - Chartered Market Technician

CPA - Certified Public Accountant

CPA - Certified Practising Accountant [Australia]

CPA-PFS Certified Public Accountant - Personal Finance Specialist



해당사항 선택

CQF - Certificate in Quantitative Finance

CRFA - Chinese Registered Financial Analyst [China]

CTA - Canadian Tax Advisor [Canada]

CTFA - Certified Trust and Financial Advisor

CTP - Certified Treasury Manager [US]

ChFC - Chartered Financial Consultant [US]

EFA - European Financial Advisor [Europe]

FCA - Fellow of the Institute of Chartered Accountants [England & Wales]

FCCA - Fellow of the Chartered Institute of Certified Accountants [UK]

FCII - Fellow of the Chartered Insurance Institute [UK]

FCSI - Fellow of the Canadian Securities Institute [Canada]

FFA - Fellow of the Faculty of Actuaries [Scotland & UK (w/members in Canada and S. Africa)]

FIA - Fellow of the Institute of Actuaries [UK]

FIAA - Fellow of the Institute of Actuaries of Australia

FMA - Financial Management Advisor [Canada]

FRM - Financial Risk Manager

FSA - Fellow, Society of Actuaries

FSIP - Fellow of the UK Society of Investment Professionals [UK]

IMC - Investment Management Certificate [UK]

LUTCF - Life Underwriting Training Council Fellow

MSI - Diploma of the Securities Institute [UK]

PA - Professional Small Business Accountant [Canada]

PRM - Professional Risk Manager

Do you have any other Professional Financial Designations that are not included here? (Please Specify.)





*Please indicate the topics in which you are interested. Alternative Investments Behavioral Finance Corporate Finance Derivatives Economics Equity Investments Financial Statement Analysis Fixed Income ELeadership, Management, and Communication Skills Performance Measurement and Evaluation Portfolio Management Private Wealth Management Quantitative Methods Risk Management Standards, Ethics, and Regulations (SER)

None of the Above





Complete the Professional Conduct Inquiry.

CFA Program Professional Conduct Inquiry





각 항목은 윤미적 위반에 사항에 대한 설문입니다. In 를 체크합니다. Y_E5를 체크할 경우 [FRI모부터 관련사항을 묻는 이메일을 받게 됩니다. 항목에 따라 시험자격이 부여되지 않습니다.

CFA Program Professional Conduct Inquiry

Answer all questions.

- Suspension: Are you currently, or within the last five years have you been, the subject of disciplinary action by a regulatory organization or judicial court that resulted in a temporary suspension from:
 - (a) participation in the financial services markets or
 - (b) association with a financial services institution?
 - No
 - Yes
- 2 Expulsion: Are you currently, or within the last five years have you been, the subject of disciplinary action by a regulatory organization or judicial court that resulted in a permanent or indefinite suspension from:
 - (a) participation in the financial services markets or
 - (b) association with a financial services institution?
 - No
 - Yes
- 3 Prohibitions and Restrictions: In the last five years, has a regulatory organization or judicial court denied you trading privileges or prevented you from:
 - (a) Trading on a securities or contract market, or
 - (b) Acting as a person required to be registered under a law or regulation, or
 - (c) Acting as an affiliated person or employee of a financial services institution?
 - No
 - Yes



- 5 Damage Claims: In the last five years, has your professional conduct, in either a direct or supervisory capacity, been the subject of litigation, arbitration, a complaint, or other claim for damages that was resolved for an amount exceeding \$20,000 (US)?
 - No
 - Yes
- 6 Disciplinary Action: In the last five years have you been the subject of disciplinary action by a regulatory organization, judicial court, or financial services institution that resulted in withholding of commissions or a monetary fine in an amount exceeding \$20,000 (US)?
 - No
 - Yes
- 7 Assisting in a Violation: In the last five years, has a regulatory organization or judicial court found that your conduct caused another person or financial services institution to violate a securities or commodities related law, rule or regulation?
 - No
 - Yes
- 8 Caused Suspension or Expulsion: In the last five years, has a regulatory organization or judicial court found that your conduct caused:

(a) another person to be temporarily or permanently prevented from being associated with a financial services institution or participating in the financial services markets or

(b) a financial services institution to be temporarily or permanently prevented from participating in the financial services markets?

No

Yes





By completing the Candidate Responsibility Statement, you agree to adhere to our policies and procedures.

CFA Program Candidate Responsibility Statement





CFA Program Candidate Responsibility Statement

Read the following statement and click "I Agree" to continue.

I understand, accept, and agree to comply with all conditions, requirements, policies and procedures for the CFA Program established by CFA Institute, and as amended from time to time. I understand that such conditions, requirements, policies and procedures include all material set forth on the CFA Institute website for this year's examinations as well as the CFA Institute and its subsidiary organizations' Articles of Incorporation and Bylaws, Code of Ethics, Standards of Professional Conduct, Rules of Procedure and other conditions, requirements, policies and procedures, which may be established and amended from time to time. I understand that CFA Institute has the authority to enforce its conditions, requirements, policies and procedures against me and may reject, suspend, or terminate my candidacy at any time or decline to award me the right to use the CFA designation for my failure to satisfactorily meet any such conditions, requirements, policies and procedures.

I understand that all registration and enrollment fees are non-refundable and non-transferable.

I understand that any dispute arising from CFA Institute conditions, requirements, policies and procedures shall be governed in all respects by the law of the Commonwealth of Virginia. The exclusive forum for any such disputes shall be the state and federal courts located in the Commonwealth of Virginia.

I give permission to CFA Institute and its agents to audit and confirm any Work Experience and Education information I provide to CFA Institute. I represent that the information contained in my application, including my response to the Professional Conduct Inquiry and Candidate Professional Conduct Statement, is truthful and complete, and I agree to notify CFA Institute of any material changes to my responses to any of the questions on this form including my current address (es).







Registra	ation Steps		Search Tes	t Area						
Select >	Completed	Name Level I Personal Information	Exam Country	2014 June CF KOREA	A Level I Exam	•	View complete li	st of <u>test centers</u>		
>	V	Level I Address Information	State	-Select- 가를 선택합니다 .		•				
>	Y	Level I Education			가			선택 후클릭	Go Go	New Search
>	V	Level I Work Experience								
>	V	Level I Professional Profile	Select Test	Center						
>	> v Professional									4
		Conduct Inquiry	Country		State	City /	Area	Date	Register	
>	V	Level I Candidate Responsibility Statement								
>		Level I CFA Examination Registration								



Registra	ation Steps		Search Test A	rea			
Select	Completed	Name	-	"0044 L 0			
>	V	Level I Personal Information	Exam Country	"2014 June C Exam" "KOREA"	FA Level I	View complete list of test centers	
>	V	Level I Address Information	State				
>	×	Level I Education					New Search
>	V	Level I Work Experience					
>	V	Level I Professional Profile	Select Test Ce	enter			
	V	Level I Professional					1 - 1 of 1
1		Conduct Inquiry	$Country \bigoplus^{\bigtriangleup}$	State $\stackrel{\bigtriangleup}{\bigtriangledown}$	City / Area 🚔	Date	Register
>	V	Level I Candidate Responsibility Statement	KOREA		Seoul	Saturday, June 7, 2014	Register



Account Home	Customer Service	Payment History	Membership	Events and Conferences	CIPM Member Directory	Curriculum
Shopping Cart	2					

- Once registered for the CFA program, you will have access to the CFA program curriculum eBook. A print version of the CFA program curriculum is available for purchase separately.
- Instructions to access your eBook and where you can purchase a print version will be emailed to you upon completion of your registration process.

Name	Continue
Level I eBook Curriculum June 2014	
2014년부터 [Ffl 기본서(Eurriculum) 문테미버은 필수입니다.	



Account Home C Shopping Cart	ustomer Service	Payment History	Membership	Events a	and Conferences	CIPM Member Directory	y Curricu	lum
		Enter Details	Payment	Confirm	Receipt			
hopping Cart			w			873	85	-
em		List Price	Offer Code 1 🥐).	Offer Code 2 🥐	Net Price	Total	Delete
🖻 🗇 2014 June CFA Le	evel I Exam	\$1,020.00				\$650.00	\$1,240.00	Ĩ
CFA Exam En	rollment June 2014	\$440.00				\$440.00		
Level I eBook (Curriculum June 2014	\$150.00				\$150.00		

Please click the RECALCULATE button to apply offer codes to the net price.







Account Home	Customer Service	Payment History	Membership	Events and (Conferences	CIPM Member Directory	Curriculum
Shopping Cart							
						Reports	
		—					
		Enter Details	Payment	Confirm	Receipt		
Print Invoice							

To pay by credit card, click the 'Continue' button.

To pay by check or other payment method select 'Invoice' in the Reports drop down box above, and mail it with your payment to CFA Institute.





Payment Method

When entering credit card numbers:

- Enter a single strand of numbers/digits
 Do not enter spaces, hyphens, dashes, or other characters in the credit card field.

Type:*	Visa 👻	
Credit Card #:*	5564645862542315	
Cardholder's Name:*	epasskorea	
Expiration Month:*	4 Expiration Yea	2014 👻
Same As Shipping Address:		
Billing Address Line 1:*	2-1004 Acehitechcity	
Billing Address Line 2:	55-20,Mullae-dong 3-ga, Yeongdeungpo-gu	• * • 표시는 반드시 입멱합니다. 카드는 본인 명의가 아니어도 됩니다.
Billing City:*	SEOUL	이후 페이지는 Order ConFirm과 Receipt 입니다. 출력하시어 보관하시기 바랍니다.
Billing State:		마지막 단계까지 완전히 진행하시고 완료를 클릭하셔야
Billing Province:		합니다. 진행 도중 브마우저가 종프되면 결제가 되지 않습니다.
Billing Postal Code:	150-093	
Billing Country:*	KOREA -	
		내용 임력 후 클릭





- # 기타 문의사항은 1600-0522 로 연락 주시기 바랍니다.
- 은 것입니다. (카드사에 문의하여 결제 확인)
- 3.신용카드 결제 내역을 확인하시기 바랍니다. 결제가 되지 않았을 경우 시험 점수가 정상적으로 되지 않 ♀ 거입니다.
- 2. 접수 후 I 0분 뒤 [Ffll 홈페이지에 로그인하여 Manage fleenunt에서 신청내역을 확인하시기 바랍니다.
- I. [Ffll 에서 등록 관련 이메일을 받게 됩니다.
- # 시험 접수 후 확인사항

